

Fiscal Year	Budget (\$1000s)	FY07-11	FY11-15	FY15-20	FY20-25	FY25-30	TOTAL	Notes
BART Parking & Access*	25,330	287						
Bay Trail Gap Closure	1,510	500						
Capitol Corridor*	HITC 13,255	300						
Hercules Transit Center	1,650							done
Ferry Service Hercules*	6,325							
Ferry Service Richmond*	6,325	PI 2		C 12,650				
Richmond Intermodal	15,000	D 38	D 527 C 4,878					
Del Norte Area TOD	6,875	PI 216						Ø
San Pablo Ave Corridor	1,650	PI 235						Ø
SPDR Improvements	1,900							
N. Richmond Truck Route	4,000			PI 400 E 600 D 1600 RW 10,000	C 15,000			
Central/I80 Interchange*	7,100		E, D, C 7,100					PI done
SPDR/I-80 IC*	7,100		D, RW, C 7,100					PI done E done
Willow/SR4/I-80 Interchange*	80		[~20,000 in 2008 PSR]					
<b>FY Totals</b>	<b>98,100</b>	<b>1,578</b>	<b>21,183</b>	<b>25,250</b>	<b>15,000</b>			

\*=also a Measure J project. PI=planning/preliminary studies. E=Environmental Clearance. D=Design. RW=ROW. C=Construction. Ø=No project fact sheet received.

**SUBREGIONAL TRANSPORTATION MITIGATION FEE PROGRAM (STMP)**  
**LIFE-TO-DATE RECEIPTS, EXPENDITURES, PROGRAM BALANCE**  
**Period Ending June 30, 2011**

Accrual Date	RECEIPTS						Interest	Total	Cumulative Total
	County	El Cerrito	Hercules	Pinole	Richmond	San Pablo			
Beginning Bal.							\$ 117,783	\$ 117,783	
FY 07 Q1	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 117,783	
FY 07 Q2	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	569	\$ 118,352	
FY 07 Q3	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	3,458	\$ 121,811	
FY 07 Q4	\$ 167,434	\$ 2,217	\$ 1,400	\$ 29,122	\$ -	\$ 105,548	\$ -	\$ 427,532	
FY 08 Q1	\$ -	\$ 739	\$ 5,091	\$ 53,415	\$ 281,160	\$ 19,321	\$ -	\$ 787,258	
FY 08 Q2	\$ 13,831	\$ 2,595	\$ -	\$ -	\$ -	\$ 23,401	\$ 7,617	\$ 834,702	
FY 08 Q3	\$ 254,282	\$ 2,595	\$ 16,810	\$ -	\$ -	\$ 26,520	\$ 7,528	\$ 1,142,437	
FY 08 Q4	\$ 2,934	\$ -	\$ 2,956	\$ -	\$ -	\$ 23,071	\$ 14,536	\$ 1,185,934	
FY 09 Q1	\$ 13,715	\$ -	\$ 1,478	\$ -	\$ -	\$ 42,116	\$ -	\$ 1,243,243	
FY 09 Q2	\$ 28,300	\$ 2,595	\$ -	\$ -	\$ 269,805	\$ 31,558	\$ 6,725	\$ 1,582,226	
FY 09 Q3	\$ 4,063	\$ -	\$ -	\$ -	\$ 4,212	\$ -	\$ 7,231	\$ 1,597,732	
FY 09 Q4	\$ 2,959	\$ -	\$ -	\$ -	\$ 52,698	\$ -	\$ 9,753	\$ 1,663,142	
FY 10 Q1	\$ 4,600	\$ -	\$ 104,954	\$ -	\$ 29,131	\$ 5,190	\$ 3,169	\$ 1,810,185	
FY 10 Q2	\$ 6,574	\$ 2,595	\$ -	\$ -	\$ 37,738	\$ -	\$ 2,111	\$ 1,859,204	
FY 10 Q3	\$ -	\$ -	\$ 226,971	\$ -	\$ 71,981	\$ -	\$ 2,043	\$ 2,160,199	
FY 10 Q4	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 1,924	\$ 2,162,123	
FY 11 Q1	\$ -	\$ -	\$ -	\$ -	\$ 41,440	\$ -	\$ 1,904	\$ 2,205,467	
FY 11 Q2	\$ -	\$ -	\$ -	\$ -	\$ 15,429	\$ -	\$ -	\$ 2,220,896	
FY 11 Q3	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 2,220,896	
FY 11 Q4	\$ -	\$ -	\$ -	\$ -	\$ 74	\$ -	\$ -	\$ 2,220,970	
<b>Total</b>	<b>\$ 498,692</b>	<b>\$ 13,336</b>	<b>\$ 359,659</b>	<b>\$ 82,537</b>	<b>\$ 803,668</b>	<b>\$ 276,726</b>	<b>\$ 68,569</b>	<b>\$ 2,220,970</b>	

**SUBREGIONAL TRANSPORTATION MITIGATION FEE PROGRAM (STMP)**  
**LIFE-TO-DATE RECEIPTS, EXPENDITURES, PROGRAM BALANCE**  
**Period Ending June 30, 2011**

EXPENSES	Budget	Actual LTD	Encum- brance	Variance Fav.(Unfav.)	% of Budget
<b>Richmond Intermodal Station</b>					
Grants Consult. (Michael Bernick)		\$ 37,535			
Legal Consult. (Meyers, Nave)		\$ 146			
Total Richmond Intermodal	\$ 15,000,000	\$ 37,680	\$ 61,211	\$ 14,901,109	1%
<b>I-80 Interchanges at SPD, Central, Hwy 4</b>					
Total I-80 Interchanges	\$ 14,280,000	\$ -	\$ -	\$ 14,280,000	0%
<b>Capitol Corridor Improvements</b>					
Hercules Intermodal Transit Center		\$ -	\$ 300,000		
Total Capitol Corridor	\$ 13,255,000	\$ -	\$ 300,000	\$ 12,955,000	2%
<b>Ferry Service from Richmond and/or Hercules</b>					
Grants Consult. (Michael Bernick)		\$ 1,950			
Total Ferry	\$ 12,650,000	\$ 1,950	\$ -	\$ 12,648,050	0%
<b>BART Parking &amp; Access Improvements</b>					
Total BART	\$ 25,330,000	\$ -	\$ 286,200	\$ 25,043,800	1%
<b>Bay Trail Gap Closure</b>					
Prelim Engr (1/10 to 7/10)		\$ 80,489			
Prelim Engr (7/10 to 1/11)		\$ 78,694			
Total Bay Trail	\$ 1,510,000	\$ 159,183	\$ 340,817	\$ 1,010,000	33%
<b>San Pablo Dam Rd Improvements</b>					
Total SPDR Improvements	\$ 1,900,000	\$ -	\$ -	\$ 1,900,000	0%
<b>San Pablo Avenue Corridor</b>					
Youth Intern. Program (Ma'at Youth Acad.)		\$ 25,000			
San Pablo Smart Corr (Alameda County CMA)		\$ 174,000			
Total San Pablo Avenue	\$ 1,650,000	\$ 199,000	\$ 36,000	\$ 1,415,000	14%
<b>N. Richmond Truck Route</b>					
Total N. Richmond Truck Rte	\$ 4,000,000	\$ -	\$ -	\$ 4,000,000	0%
<b>Hercules Transit Center</b>					
Total Hercules Transit Center	\$ 1,650,000	\$ -	\$ -	\$ 1,650,000	0%
<b>Del Norte Area TOD</b>					
Student Internship Program		\$ 114,949			
Grants Consult (Michael Bernick)		\$ 750			
San Pablo Av Specific Plan		\$ 99,535			
Total Del Norte	\$ 6,875,000	\$ 215,234	\$ (15,234)	\$ 6,675,000	3%
<b>Total Program</b>	<b>\$ 98,100,000</b>	<b>\$ 613,048</b>	<b>\$ 1,008,993</b>	<b>\$ 96,477,959</b>	<b>2%</b>
		(B)	(C)		
<b>Total Revenue LTD</b>	(A) <b>\$ 2,220,970</b>				
<b>PROGRAM BALANCE {(A)-[(B)+(C)]}</b>	<b>\$ 598,929</b>				

# FY 2012/13 Caltrans Transportation Planning Grant Program

Grant applications are due via e.mail by 5:00 p.m. Monday, April 2, 2012.

- See the announcement posted on the Caltrans website:  
<http://www.dot.ca.gov/hq/tpp/grants.html>
- For more information about the Planning Grant Program:  
**Planning Grant Open House at the District 4 offices on Thursday, February 9, 2012 from 10:30 a.m. to 12:00 p.m.**
- The Transportation Planning Grant Program promotes a balanced, comprehensive multi-modal transportation system.
  - The funds can be used for a wide range of transportation planning purposes.
  - These should ultimately lead to the programming, and implementation of transportation improvement projects.
- The grant programs fall under four categories:
  - Environmental Justice (EJ),
  - Community-Based Transportation Planning (CBTP),
  - Partnership Planning, and
  - Transit Planning.

## **Your Caltrans Contacts:**

### **CBTP**

Beth Thomas (510) 286-7227

Email: [beth\\_thomas@dot.ca.gov](mailto:beth_thomas@dot.ca.gov)

### **EJ**

Blesilda Gebreyesus (510) 286-5575

Email: [blesilda\\_gebreyesus@dot.ca.gov](mailto:blesilda_gebreyesus@dot.ca.gov)

### **Partnership Planning**

Erik Alm (510) 286-6053

Email: [erik\\_alm@dot.ca.gov](mailto:erik_alm@dot.ca.gov)

### **Transit**

Becky Frank (510) 286-5536

Email: [becky\\_frank@dot.ca.gov](mailto:becky_frank@dot.ca.gov)

Also, feel free to contact Julie Campero anytime (510) 622-5905, [julie\\_campero@dot.ca.gov](mailto:julie_campero@dot.ca.gov)

# FY 2012/13 Caltrans Transportation Planning Grant Program

## **Who May Apply for EJ/CBTP Grants**

Metropolitan Planning Organizations (MPOs), Regional Transportation Planning Agencies (RTPAs), cities, counties, transit agencies, and federally recognized Native American Tribal Governments may apply for this grant program directly as an applicant or as a sub-applicant. Universities, community colleges, community-based organizations, non-profit organizations (501.C.3), and public entities may only apply as a sub-applicant. Sub-applicants are encouraged to work far in advance of the application deadline with the appropriate applicant to coordinate application development.

## **Who May Apply Partnership Planning/Transit**

Only MPOs and RTPAs that have a current Master Fund Transfer Agreement with the Caltrans Headquarters Office of Regional and Interagency Planning (ORIP) may apply for the Partnership Planning and Transit Planning Grant Programs. Universities and community colleges, Native American Tribal Governments, cities and counties, community-based organizations, non-profit organizations (501.C.3) and public entities may apply as a sub-applicant. Sub-applicants are encouraged to work far in advance of the application deadline with the appropriate MPO or RTPA to coordinate application development. It is also beneficial for sub-applicants to be informed of the appropriate MPO or RTPA process and schedule, as they may differ slightly from Caltrans. RTPAs residing within MPO boundaries should also coordinate application development with the MPO, as it is critical to ensure that proposed studies benefit the entire MPO region.

## **San Francisco Bay Area Applicants**

The Metropolitan Transportation Commission, in Caltrans District 4, will not apply on behalf of sub-recipients for Partnership Planning or Transit Planning Grants. Transit Agencies, Cities, Counties and Native American Tribal Governments may apply directly to Caltrans.

# Transportation Planning Grant Summary Chart

GRANT	FUND SOURCE	PURPOSE	WHO MAY APPLY	LOCAL MATCH
<p style="text-align: center;"><b>Partnership Planning</b></p>	<p>Federal Highway Administration State Planning and Research, Part 1</p> <p style="text-align: center;"><b>Budget</b> Federal funds \$1,200,000</p> <p style="text-align: center;"><b>Grant Cap</b> \$300,000</p>	<p>Fund transportation planning studies of multi-regional and statewide significance in partnership with Caltrans.</p>	<p><b>The following may only apply as an applicant:</b></p> <ul style="list-style-type: none"> <li>• Metropolitan Planning Organizations and Regional Transportation Planning Agencies</li> </ul> <p><b>Caltrans District 4 Only:</b></p> <ul style="list-style-type: none"> <li>• Transit Agencies</li> <li>• Cities and Counties</li> <li>• Native American Tribal Governments</li> </ul> <p><b>The following may only apply as a sub-applicant:</b></p> <ul style="list-style-type: none"> <li>• Universities and Community Colleges</li> <li>• Native American Tribal Governments</li> <li>• Cities and Counties</li> <li>• Community-Based Organizations</li> <li>• Non-Profit Organizations (501.C.3)</li> <li>• Other Public Entities**</li> </ul>	<p>20% minimum (in non-federal funds or an in-kind* contribution). The entire minimum 20% local match may be in the form of an in-kind contribution. Additional local funds above the minimum local match are desired.</p>
<p style="text-align: center;"><b>Statewide or Urban Transit Planning Studies</b></p>	<p>Federal Transit Administration Section 5304</p> <p style="text-align: center;"><b>Budget</b> Federal funds \$1,500,000</p> <p style="text-align: center;"><b>Grant Cap</b> \$300,000</p>	<p>Fund studies on transit issues having statewide or multi-regional significance to assist in reducing congestion.</p>	<p><b>The following may only apply as an applicant:</b></p> <ul style="list-style-type: none"> <li>• Metropolitan Planning Organizations and Regional Transportation Planning Agencies</li> </ul> <p><b>Caltrans District 4 Only:</b></p> <ul style="list-style-type: none"> <li>• Transit Agencies</li> <li>• Cities and Counties</li> <li>• Native American Tribal Governments</li> </ul> <p><b>The following may only apply as a sub-applicant:</b></p> <ul style="list-style-type: none"> <li>• Transit Agencies</li> <li>• Universities and Community Colleges</li> <li>• Native American Tribal Governments</li> <li>• Cities and Counties</li> <li>• Community-Based Organizations</li> <li>• Non-Profit Organizations (501.C.3)</li> <li>• Other Public Entities**</li> </ul>	<p>11.47% minimum (in non-federal funds or an in-kind* contribution). The entire minimum 11.47% local match may be in the form of an in-kind contribution.</p>

\* For in-kind contribution requirements, refer to each grant program's section in this Guide.

\*\* Public entities include state agencies, the Regents of the University of California, district, public authority, public agency, and any other political subdivision or public corporation in the state. (Government Code Section 811.2)

# Transportation Planning Grant Summary Chart

GRANT	FUND SOURCE	PURPOSE	WHO MAY APPLY	LOCAL MATCH
<b>Community-Based Transportation Planning (CBTP)</b>	<p style="text-align: center;">State Highway Account</p> <p style="text-align: center;"><b>Budget</b> \$3 million</p> <p style="text-align: center;"><b>Grant Cap</b> \$300,000</p>	<p>Fund coordinated transportation and land use planning that promotes public engagement, livable communities, and a sustainable transportation system, which includes mobility, access, and safety.</p>	<p><b>The following may apply directly or as a sub-applicant:</b></p> <ul style="list-style-type: none"> <li>• Metropolitan Planning Organizations and Regional Transportation Planning Agencies</li> <li>• Cities and Counties</li> <li>• Transit Agencies</li> <li>• Native American Tribal Governments</li> </ul> <p><b>The following may apply only as a sub-applicant:</b></p> <ul style="list-style-type: none"> <li>• Universities and Community Colleges</li> <li>• Community-Based Organizations</li> <li>• Non-Profit Organizations (501.C.3)</li> <li>• Public Entities**</li> </ul>	<p>10% minimum of the grant amount requested. At least 7.5% of the grant amount requested must be cash match and the rest may be in-kind.*</p>
<b>Environmental Justice (EJ)</b>	<p style="text-align: center;">State Highway Account</p> <p style="text-align: center;"><b>Budget</b> \$3 million</p> <p style="text-align: center;"><b>Grant Cap</b> \$250,000</p>	<p>Promote community involvement in planning to improve mobility, access, and safety while promoting economic opportunity, equity, environmental protection, and affordable housing for low-income, minority, and Native American communities.</p>	<p><b>The following may apply directly or as a sub-applicant:</b></p> <ul style="list-style-type: none"> <li>• Metropolitan Planning Organizations and Regional Transportation Planning Agencies</li> <li>• Cities and Counties</li> <li>• Transit Agencies</li> <li>• Native American Tribal Governments</li> </ul> <p><b>The following may apply only as a sub-applicant:</b></p> <ul style="list-style-type: none"> <li>• Universities and Community Colleges</li> <li>• Community-Based Organizations</li> <li>• Non-Profit Organizations (501.C.3)</li> <li>• Public Entities**</li> </ul>	<p>10% minimum of the grant amount requested. At least 7.5% of the grant amount requested must be cash match and the rest may be in-kind.*</p>

\* For in-kind contribution requirements, refer to each grant program's section in this Guide.

\*\* Public entities include state agencies, the Regents of the University of California, district, public authority, public agency, and any other political subdivision or public corporation in the state. (Government Code Section 811.2)

Note: Redevelopment Agencies cannot apply as an applicant or sub-applicant until AB X1 26 and 27 have been resolved. Please consult your district contract manager before submitting your grant application to the EJ or CBTP grant program to determine eligibility.

## Transportation Planning Grant Summary Chart

<b>Rural or Small Urban Transit Planning Studies</b>	Federal Transit Administration Section 5304  <b>Budget</b> Federal funds \$1,000,000  <b>Grant Cap</b> \$100,000	Fund public transportation planning studies in rural or small urban areas of California (transit service area with population of 100,000 or less).	<p><b>The following may only apply as an applicant:</b></p> <ul style="list-style-type: none"> <li>• Metropolitan Planning Organizations and Regional Transportation Planning Agencies</li> </ul> <p><b>Caltrans District 4 Only:</b></p> <ul style="list-style-type: none"> <li>• Transit Agencies</li> <li>• Cities and Counties</li> <li>• Native American Tribal Governments</li> </ul> <p><b>The following may only apply as a sub-applicant:</b></p> <ul style="list-style-type: none"> <li>• Transit Agencies</li> <li>• Universities and Community Colleges</li> <li>• Native American Tribal Governments</li> <li>• Cities and Counties</li> <li>• Community-Based Organizations</li> <li>• Non-Profit Organizations (501.C.3)</li> <li>• Other Public Entities**</li> </ul>	11.47% minimum (in non-federal funds or an in-kind* contribution). The entire minimum 11.47% local match may be in the form of an in-kind contribution.
<b>Transit Planning Student Internships</b>	Federal Transit Administration Section 5304  <b>Budget</b> Federal funds \$300,000  <b>Grant Cap</b> \$50,000	Fund student internship opportunities in transit planning at public transit agencies.	<p><b>The following may only apply as an applicant:</b></p> <ul style="list-style-type: none"> <li>• Metropolitan Planning Organizations and Regional Transportation Planning Agencies</li> </ul> <p><b>Caltrans District 4 Only:</b></p> <ul style="list-style-type: none"> <li>• Transit Agencies</li> <li>• Cities and Counties</li> <li>• Native American Tribal Governments</li> </ul> <p><b>The following may only apply as a sub-applicant:</b></p> <ul style="list-style-type: none"> <li>• Transit Agencies</li> <li>• Universities and Community Colleges</li> <li>• Native American Tribal Governments</li> <li>• Cities and Counties</li> <li>• Community-Based Organizations</li> <li>• Non-Profit Organizations (501.C.3)</li> <li>• Other Public Entities**</li> </ul>	11.47% minimum (in non-federal funds or an in-kind* contribution). The entire minimum 11.47% local match may be in the form of an in-kind contribution.

\* For in-kind contribution requirements, refer to each grant program's section in this Guide.

\*\* Public entities include state agencies, the Regents of the University of California, district, public authority, public agency, and any other political subdivision or public corporation in the state. (Government Code Section 811.2)

## Application Submittal Instructions

**All applications are required to be submitted via e-mail (scanned or hard copies will not be accepted).**

Copy the appropriate Caltrans District contact on the e-mail (refer to the **Transportation Planning Grant District Contact List**). Identify the district number, grant program, and project title in the subject line of the e-mail (e.g., D1 CBTP, City of Can Do Planning Project). The e-mail must be submitted by **5:00 pm, Monday, April 2, 2012**. **Late applications will not be reviewed. Only one application submittal per e-mail is permitted.**

- **For Environmental Justice and Community-Based Transportation Planning Grants** submit the required documents as separate attachments via e-mail to **[OfficeofCommunityPlanning@dot.ca.gov](mailto:OfficeofCommunityPlanning@dot.ca.gov)**.
- **For Partnership Planning and Transit Planning Grants** submit the required documents as separate attachments via e-mail to **[Regional\\_Planning\\_Grants@dot.ca.gov](mailto:Regional_Planning_Grants@dot.ca.gov)**.

Caltrans district staff will be available **prior to the April 2, 2012** deadline to answer questions and help interested groups complete their applications.

Applicants may submit more than one application, but any given project can only be submitted to **one** grant program. Caltrans Headquarters staff checks all applications for duplicates including those with different titles. At Caltrans' discretion, an application may be referred to a different grant program for consideration if the application is better suited for that program.

### Timeline

- **December 14, 2011** – Transportation Planning Grant Application Guide and application is available at the following website: <http://www.dot.ca.gov/hq/tpp/grants.html>
- **April 2, 2012** – Application is due
- **Summer 2012** – Estimated time of grant award announcements (upon approval of state budget)